

Docket No.: 074968-0011

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Tetsuzo UEDA, et al. : Confirmation Number: 1264

Application No.: 10/812,416 : Group Art Unit: 2811

Filed: March 30, 2004 : Examiner: Crane, Sara W.

For: A 4H-POLYTYPE GALLIUM NITRIDE-BASED SEMICONDUCTOR DEVICE ON A 4H-

POLYTYPE SUBSTRATE

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

In accordance with 37 CFR 1.17(p), please charge the fee of \$180.00 to Deposit Account

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10/812,416

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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Please recognize our Customer No. 20277 as our correspondence address.

SHEET 1 OF 1

INFORMATION DISCLOSURE SERIAL NO. ATTY. DOCKET NO. 074968-0011 10/812,416 CITATION IN AN APPLICATION **APPLICANT** Tetsuzo UEDA, et al. FILING DATE **GROUP** (PTO-1449) March 30, 2004 2811 U.S. PATENT DOCUMENTS **EXAMINER'S** CITE Document Number **Publication Date** Name of Patentee or Applicant of Cited Pages, Columns, Lines, Where Relevant Passages or Relevant MM-DD-YYYY Document INITIALS Number-Kind Code2 (# known) Figures Appear US US US บร US US US บร US ÜS US บร ÜS US FOREIGN PATENT DOCUMENTS **EXAMINER'S** Foreign Patent Document Publication Date Name of Patentee or Pages, Columns, Lines Translation INITIALS Applicant of Cited Document Where Relevant Country Codes-Number 4-Kind Codes (if known) CITE MM-DD-YYYY Yes No Figures Appear NO. OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, **EXAMINER'S** INITIALS journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where CITE published. NO. NAOTAKA KURODA ET AL., "Step-Controlled VPE Growth of SiC Single Crystals at Low Temperatures", Extended Abstracts of the 19th Conference on Solid State Devices and Materials, Tokyo, 1987, pp. 227-230 **EXAMINER** DATE CONSIDERED

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.